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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/872,581	05/31/2001	Fabio Casati	10007896-1	2636

7590 10/18/2006

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EXAMINER

LIN, KENNY S

ART UNIT	PAPER NUMBER
2152	

DATE MAILED: 10/18/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/872,581	Applicant(s) CASATI ET AL.	
	Examiner Kenny Lin	Art Unit 2152	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 August 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2 and 4-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1,2 and 4-20 is/are allowed.
- 6) ☒ Claim(s) 21-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-2 and 4-26 are presented for examination. Claim 3 is canceled.

Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/8/2006 has been entered.

Allowable Subject Matter

3. In light of the interview conducted on August 7, 2006 and the corresponding amendments, the 112 rejections are overcome. Claims 1-2 and 4-20 are allowed.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Du et al (hereinafter Du), US 6,041,306, in view of Applicant Admitted Prior Art (AAPA).

6. Du was cited in the previous office action.
7. As per claim 21, a method for invoking multiple parallel instances of a same node, comprising:
 - a. Defining a multinode (col.4, line 10-28, 49-56) as a node that allows multiple parallel instances of a same work node in a workflow (col.3, lines 1-10, col.4, lines 10-14, 17-22, 45-50);
 - b. Determining, based on an activation rule, whether the activation of the multiple parallel instances of the same work node is a resource-based activation or a variable-based activation (col.2, lines 22-51, col.6, lines 1-6); and
 - c. Activating the multinode to execute plural instances of the same work node in the workflow (col.6, lines 39-48).
8. Du did not specifically teach to allow activation of multiple parallel instance of a same work node. However, the teaching of allowing activation of multiple parallel instance of a same work node is applicant admitted prior art (see page 5, lines 3-21 of the specification). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du and applicant admitted prior art (AAPA) because AAPA's teaching of multiple parallel instance to enable Du's method to have each work node to perform a sequence of activities in parallel and span to several business organizations (see Du, col.4, lines 45-56, see specification page 3, lines 20-21, page 5, lines 3-21).

9. Claims 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Du and AAPA as applied to claim 21 above, and further in view of Dugan et al (hereinafter Dugan), US 2002/0083166.

10. Dugan was cited in the previous office action.

11. As per claim 22, Du and AAPA taught the invention substantially as claimed in claim 21. Du and AAPA did not specifically teach that reading a variable name to determine a number of the multiple parallel instances of the same work node to activate when the activation is based on the variable-based activation. Dugan taught to comprise a variable name reader for reading a variable name to determine a number of the multiple parallel instances of the same work node to activate when the activation is based on the variable-based activation (pp. 0067-0069). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du, AAPA and Dugan because Dugan's teaching of using resource-based activation facility and variable-based activation facility enables Du and AAPA's system to determine the capabilities of each component of each node and create services based on the determination (pp. 0067-0069).

12. As per claim 23, Du and AAPA taught the invention substantially as claimed in claim 21. Du and AAPA did not specifically teach that activating new instances of the multinode for each element in a vector when the activation is based on the variable-based activation. Dugan taught

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to comprise a new instance generation unit for starting new instance of the multinode for each new element in the variable identified by the vector (pp. 0067-0069). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du, AAPA and Dugan because Dugan's teaching of using resource-based activation facility and variable-based activation facility enables Du and AAPA's system to determine the capabilities of each component of each node and create services based on the determination (pp. 0067-0069).

13. As per claim 24, Du and AAPA taught the invention substantially as claimed in claim 21. Du and AAPA did not specifically teach that the resource-based activation is based on a number of resources available for executing the workflow, and the variable-based activation is based on a vector. Dugan taught that the resource-based activation is based on a number of resources available for executing the workflow, and the variable-based activation is based on a vector (pp. 0067-0069, 0106). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du, AAPA and Dugan because Dugan's teaching of using resource-based activation facility and variable-based activation facility enables Du and AAPA's system to determine the capabilities of each component of each node and create services based on the determination (pp. 0067-0069).

14. Claim 25-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Du et al (hereinafter Du), US 6,041,306, in view of Applicant Admitted Prior Art (AAPA), and Dugan et al (hereinafter Dugan), US 2002/0083166.

15. As per claim 25, a method for invoking multiple parallel instances of a same node, comprising:

- a. Defining a multinode as a node (col.4, line 10-28, 49-56) that allows for activation of multiple parallel instances of a same work node in a workflow (col.3, lines 1-10, col.4, lines 10-14, 17-22, 45-50);
- b. Reading an activation rule to determine if activation of the multinode is based on resource-based activation or variable-based activation (col.2, lines 22-51, col.6, lines 1-6); and
- c. Activating the multinode to execute a number of plural instances of the same work node (col.6, lines 39-48)

16. Du did not specifically teach to allow activation of multiple parallel instance of a same work node. However, the teaching of allowing activation of multiple parallel instance of a same work node is applicant admitted prior art (see page 5, lines 3-21 of the specification). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du and applicant admitted prior art (AAPA) because AAPA's teaching of multiple parallel instance to enable Du's method to have each work node to perform a sequence of activities in parallel and span to several business organizations (see Du, col.4, lines 45-56, see specification page 3, lines 20-21, page 5, lines 3-21).

17. Du and AAPA did not specifically teach that activating the multinode that (1) the number equals resources available for executing the workflow when the activation rule is the resource-based activation or (2) the number is read from a variable name that is a vector when the activation rule is the variable-based activation. Dugan taught to comprise a variable name reader for reading a variable name to determine a number of the multiple parallel instances of the same work node to activate when the activation is based on the variable-based activation (pp. 0067-0069). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Du, AAPA and Dugan because Dugan's teaching of using resource-based activation facility and variable-based activation facility enables Du and AAPA's system to determine the capabilities of each component of each node and create services based on the determination (pp. 0067-0069).

18. As per claim 26, Du, AAPA, and Dugan taught the invention substantially as claimed in claim 25. Dugan further taught that a new instance of the same work node is started for each element in the vector (pp. 0067-0069).

Response to Arguments

19. Applicant's arguments filed regarding claims 21-26 have been fully considered but they are not persuasive.

20. In the remark, applicant argued (1) Du does not teach "determining, based on an activation rule, whether the activation of the multiple parallel instances of the same node is a

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resource-based activation or a variable-based activation” because Du is silent regarding variable-based activation.

21. Examiner traverse the arguments:

As to point (1), this argument was addressed in the previous office action. Since claim 21-26 expresses the **OR** condition, the reference needs to show only one of either one of the limitations, in which “determining, based on an activation rule, whether the activation of the multiple parallel instances of the same node is a resource-based activation” (col.6, lines 1-6) is disclosed in Du reference. This clearly satisfies the claimed language of resource-based activation **or** a variable-based activation.

Conclusion

22. A shortened statutory period for reply to this Office action is set to expire **THREE MONTHS** from the mailing date of this action.

23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenny Lin whose telephone number is (571) 272-3968. The examiner can normally be reached on 8 AM to 5 PM Tue.-Fri. and every other Monday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Bunjob Jaroenchonwanit can be reached on (571) 272-3913. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ksl
October 4, 2006

A handwritten signature in black ink, consisting of a stylized 'K' followed by a long horizontal stroke and a small 'Z' at the end.